

1       **MODULAR UNINTERRUPTIBLE POWER SUPPLY SYSTEM AND**  
2                   **CONTROL METHOD THEREOF**

3       **BACKGROUND OF THE INVENTION**

4       1. Field of the Invention

5               The present invention relates to a modular uninterruptible power supply  
6       system and control method thereof, in particular to a system of parallel UPS  
7       modules all with full uninterruptible power supply capabilities, and identical  
8       control logic and functional capabilities for initiating role detection dynamically  
9       and electing a virtual master through the arbitration process to control the parallel  
10      operation of UPS modules. The system design has incorporated the  
11      characteristics of both centralized control and distributed processing by  
12      dispensing with a dedicated control module, and is able to operate with one or  
13      more UPS modules in parallel, providing fault tolerance and maximum  
14      redundancy, and reducing the risks of system-level single point failure to  
15      minimum possibility to the emergent and sensitive load.

16      2. Description of Related Arts

17           Computers and networking have become essential tools for enhancing  
18      the economic and technological development in many countries. To keep the  
19      operation of computers and networks working in normal operating conditions,  
20      there has to be a continuous supply of electrical power. As is commonly known,  
21      high-tech systems cannot tolerate even a brief loss of power, which could cause  
22      severe data loss for the data processing equipment and breakdown of the data  
23      communication systems. To prevent such accidents, companies and individual  
24      users see the benefits of having an uninterruptible power supply to protect their

1 installation and the operation results. Therefore, the demand for the  
2 uninterruptible power supply is increasing steadily.

3 An uninterruptible power supply receives AC and DC input power and  
4 provides an AC output power to a load. In general, the AC input power is  
5 generally provided by the utility companies or the power generators, whilst the  
6 DC power is generally supplied by the batteries. The AC output power provides  
7 the necessary electrical power for driving the electrical equipment, and the  
8 controller controls the systems. If the sensitive equipment is installed with a UPS,  
9 when the main line is failed, the power source will be automatically switched to  
10 the secondary source. The DC power from the battery is used to maintain a  
11 continuous power supply to the load.

12 A UPS offers line filtering and power regulation for a main line in  
13 normal conditions and a secondary power source when the supply from the main  
14 line is interrupted. When the AC output is normal, the system input of the UPS is  
15 connected to a filter for filtering out line noises, and then through an AC/DC  
16 converter to an DC bus for saving the DC power, and then the DC bus is  
17 connected to a DC/AC inverter through an optional output filter to provide the  
18 necessary power to the load.

19 When the AC input is interrupted, the DC power will be drawn from the  
20 secondary source passing through a DC/DC converter to the DC bus replacing  
21 the AC input by converting DC input power to DC Bus, and then further through  
22 a DC/AC inverter generating AC power connected to the load. This type of UPS  
23 usually also has a charger if the secondary DC source are batteries to recharge the  
24 batteries once the main line is returned to the normal supply conditions.

1        The conventional structure of a UPS is only able to provide a continuous  
2    supply of electrical power for the operating loads, however, it cannot satisfy the  
3    continuously increasing requirements of the load. If the load capacity is varied or  
4    increased, the original UPS may not be able to handle the new demands. There  
5    may also be stringent demand for fault tolerance, which would be beyond the  
6    provision of the conventional UPS. The answer to these problems is a modular  
7    UPS system. The modular system design has the advantages of scalability and  
8    redundancy, which are becoming a trend for the future.

9        Increasing the number of UPS units to satisfy the expansion and  
10   replacement needs will encounter a problem in parallel operation. The control  
11   technology has to take care of the cross conduction current from UPS modules  
12   with different output power as they are connected in parallel. Excessive cross  
13   conduction current will lead to system breakdown. The related control  
14   technology for a power supply system has been widely discussed in the academic  
15   and industrial fields and they are considered key issues for a reliable parallel  
16   system.

17       Modular UPS for parallel operation can be generally classified basing on  
18   their control methods. The first class of modular UPS systems are built with the  
19   wire bus control, and the second class of UPS systems employ the wireless  
20   control. Both designs have been sufficiently disclosed by prior arts. In one case a  
21   parallel redundant power supply system is built by using the AC output voltage  
22   level to coordinate load sharing, and in another case the load balancing and the  
23   reduction in cross conduction current are achieved without the need for common  
24   control circuitry between the parallel inverters.

1 For implementations using the wire bus control, a sync clock signal is  
2 used to synchronize the output voltage phase across all UPS modules, and with  
3 inter-unit signaling of loading status between UPS modules load balancing can  
4 be achieved, but the results are not satisfactory. The wire bus control method for  
5 controlling parallel operation could cause system-level single point failure.

6 In one prior art US Pat. 6,121,695, each UPS module is considered as an  
7 independent UPS function, but when they are put into a housing for parallel  
8 connection each UPS module needs to be respectively connected to a controller  
9 for controlling parallel operation. In addition, the DC bus is interconnected by all  
10 parallel UPS modules. If the DC bus is damaged, the whole system will not be  
11 able to operate, representing a typical case of the system-level single point  
12 failure. The proposed UPS modules therefore are not truly independent operation  
13 units. Besides, since the batteries of the UPS modules are not connected in  
14 parallel, the unit discharging time for different batteries may not be the same due  
15 to their inherent discharging characteristics, and the discharging time, in this  
16 case, cannot be extended by adding optional batteries. A majority rule decides for  
17 all UPS modules whether to switch to main line or battery output. The control  
18 signals exchanged between individual UPS modules are decided by an average  
19 impedance value, and the configuration of UPS modules cannot be modified by  
20 external means. There is no controller to coordinate the system, even the situation  
21 is very emergency, the system is still just judged by a so-called “majority rule”  
22 regardless of the possibility of system-level single-point failure. Thus the  
23 important and sensitive load is under a dangerous condition.

24 Also, in still another prior art US Pat. 6,201,319, a main intelligence

1 module (MIM) is employed for managing the power modules, and a redundant  
2 intelligence module (RIM) for the redundant control. However, these idling units  
3 in normal conditions will create unnecessary waste of system resources. The  
4 system is only equipped with power modules and external controllers. The power  
5 module is not designed with full uninterruptible power supply capabilities, some  
6 of the important characteristics are put in MIM, and only some of them are  
7 redundant in RIM. For example, the important operation data such as input  
8 voltage, frequency, output voltage, frequency, and current are centrally collected  
9 and stored in the MIM. The system could only enhance the redundancy of power  
10 module thus avoid the module-level failure. Moreover, the connected wired  
11 between the MIM or RIM and the power modules and some signals only  
12 designed in MIM are not redundant, if they are inoperative, the result will turn to  
13 be a system-level single point failure.

14 Lastly, still another prior art US Pat.6,396,170 uses a virtual controller  
15 model. Although it could avoid the loss of RIM reducing the risks of a system-  
16 level single point failure, the system simultaneously creates a master and a vice  
17 master in two separate UPS modules. If there is only one UPS module in the  
18 system, then the system will not be able to function. Moreover, the use of a  
19 common sync line also increases the risks of system-level single point failure. If  
20 this sync line is defeated, the total system is shut-down. Also, the proposed  
21 system architecture for the redundancy management (RMB) would require a  
22 complicated procedure to determine the direction of input and output, and to elect  
23 the virtual master or vice virtual master. Also, the sync line needs a high level  
24 system interrupt and a fast response management model, which wastes large

1 resources on that, could use alternative method to get the same result. If any UPS  
2 module is down or experiences interfacing problems, the unit cannot initiate a  
3 mode switch for itself, and instead the master will order all UPS modules to  
4 switch to a default mode leading to even more serious problems for the system.

5 From the foregoing, some of the above-described examples of parallel  
6 power supply systems can only use the redundant control method to avoid the  
7 module-level failure, but they cannot obviate the risks of system-level single  
8 point failure; some of the examples though try to enhance the redundancy in  
9 system-level failure, but they use very complicated method, and waste lots of  
10 control resources, thus result another kind of system-level failure. The  
11 conventional methods therefore cannot provide excellent fault tolerance in  
12 parallel operation. A more advanced solution is needed for controlling the  
13 synchronous operation of UPS modules connected in parallel.

14 SUMMARY OF THE INVENTION

15 The main object of the present invention is to provide a method for  
16 controlling parallel operation of a modular uninterruptible power supply (UPS)  
17 system, with units possessing full uninterruptible power supply capabilities and  
18 identical control logic and functional capabilities for self-initiated role detection,  
19 master arbitration and parallel processing, so as to enhance fault tolerance and  
20 redundancy management.

21 To this end, each UPS module is adapted to perform in the following  
22 functional modes:

23 Self-initiated role detection: this is mainly used for determining the  
24 functional role of the unit after the arbitration processes as a new entrant, virtual

1 master or virtual slave.

2       New entrant operation mode: it first searches for a virtual master in the  
3 parallel UPS system. If the virtual master exists, it enters a wait for the virtual  
4 master to issue a call-slave command, and from which the local UPS module will  
5 switch itself over to the slave operation mode, but if the virtual master does not  
6 exist, it will initiate the master arbitration for electing a virtual master;

7       Master operation mode: it sequentially checks the status of new entrants  
8 and virtual slave in the system, and then requests response from the existing  
9 virtual slaves, and collects their operation data for controlling the parallel  
10 operation; and

11       Slave operation mode: it first checks if there is a virtual master in the  
12 UPS system, if it does not exist, it will change itself to become a new entrant, and  
13 then enter into the arbitration for new virtual master.

14       Using the above arbitration scheme, there will be only one virtual master  
15 in existence in the system at any given time, but when the virtual master is failed  
16 or inoperative in the network, other new entrants and virtual slaves will sense the  
17 loss of the virtual master, and they will change themselves from the virtual slaves  
18 to a new entrant mode to arbitrate for a new master through the arbitration  
19 process. This arbitration scheme participated by all UPS modules is capable to  
20 enhance the system redundancy and enhance system reliability.

21       The above UPS module, in accordance with the invention, further  
22 possesses a synchronous switching mode, such that when the virtual master  
23 detects that the system needs to be switched all at one point, it broadcasts a switch  
24 command to all parallel UPS modules requesting the same action by all UPS

1 modules when the preset point is reached.

2 The above UPS module, in accordance with the invention, further  
3 possesses an optional wireless control mode. When the synchronous control line  
4 between the UPS modules experiences communication problem, the affected  
5 UPS modules can decide to switch to the wireless control of parallel operation.

6 The above-mentioned wireless control of parallel operation is  
7 implemented using a droop method, whereby respective UPS module collects  
8 data from the AC output to determine their active power and reactive power  
9 components, and then controls the resulting output phase and amplitude to  
10 regulate the output frequency and voltage to achieve load balancing. Respective  
11 UPS module may decide to shut down if internal problems develop.

12 The secondary object of the present invention is to provide a parallel  
13 power supply system with fault tolerance.

14 To this end, the system contains one or more UPS modules in parallel  
15 connection, and respective UPS module is built in with a microcontroller that is  
16 capable of performing parallel processing, and inter-unit signaling between all  
17 parallel UPS modules. The AC input, DC input, and AC output are connected in  
18 parallel with other UPS modules for controlling the parallel operation. Through a  
19 parallel control bus (PCB) connecting all parallel UPS modules, thus all parallel  
20 UPS modules can exchange operational data by inter-unit signaling for load  
21 balancing.

22 A typical UPS module contains an input filter, an AC/DC converter, a  
23 DC bus, a DC/AC inverter, a DC/DC converter connected between the DC input  
24 and DC bus, an optional charger, a power supply and a unit controller, wherein

1 the power supply is to provide the power to operate the internal components in  
2 the UPS module; the unit controller is to control the operation of the local unit  
3 and coordinate the parallel operation of the UPS modules through the control  
4 bus.

5 The unit controller of respective UPS module is to control all functions  
6 of the local UPS module and the mode transition. The unit controller is built in  
7 with a digital signal processor (DSP) responsible for receiving and processing the  
8 input and output voltage signals and signals passed back from the frequency  
9 detection circuit, and signals returned by cross current detection circuit and load  
10 current detection circuit.

11 The parallel control bus is used for controlling the parallel operation of  
12 all UPS modules, which is composed of a photo-coupled bi-directional control  
13 line, a communication bus, and an analog signal synthesis line. In the photo-  
14 coupled bi-directional control line, one wire is used for configuring the virtual  
15 master. For example, if the virtual master is not in existence, the line shows high  
16 potential; otherwise it shows low potential. There is a sync clock line used for  
17 synchronizing the output phase of all UPS modules. The analog signal synthesis  
18 line is used for synthesizing the output current from all UPS modules.

19 The unit controller further includes general-use I/O functions, A/D  
20 conversion functions and capabilities. The signal processor is capable of using  
21 the feedback data from the DC bus voltage, AC output voltage, and cross  
22 conduction current from the inverters to control the AC output voltage and  
23 current to meet the load requirements.

24 The unit controller also provides power output calculation, as a safety

1 measure, to protect the load, and the detection of cross conduction current  
2 derived between the inverters in parallel operation.

3 **BRIEF DESCRIPTION OF THE DRAWINGS**

4 Fig. 1 is a block diagram of the overall architecture of the parallel power  
5 supply system in accordance with the present invention;

6 Fig. 2 is a block diagram of a typical UPS module;

7 Fig. 3 is a block diagram of a typical controller built in a UPS module;

8 Fig. 4 is a schematic circuit diagram of photo-coupled bi-directional  
9 communication bus in the controller;

10 Fig. 5 is schematic diagram of the analog signal synthesis line in the  
11 parallel power supply system;

12 Fig. 6 is a flow chart depicting the procedures for the self-initiated role  
13 detection mode for a UPS module;

14 Fig. 7 is a flow chart of the procedures for configuring a new entrant,  
15 including the virtual master arbitration process;

16 Fig. 8 is a flow chart of the procedures for configuring a virtual slave;

17 Fig. 9 is a flow chart of the first part of procedures for configuring a  
18 virtual master;

19 Fig. 10 is a flow chart of the second part of procedures for configuring a  
20 virtual master;

21 Fig. 11 is a diagram of an implementation of the wireless control of  
22 parallel operation for UPS modules in parallel with inductors respectively  
23 coupled to the output of the inverters; and

24 Fig. 12 is a flow chart for determining whether to use wire bus control or

1 wireless control of parallel operation.

2 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

3 The present invention provides a modular uninterruptible power supply  
4 (UPS) system (100) containing one or more UPS modules (10) connected in  
5 parallel structure, as shown in Fig. 1. Each UPS module (10) has a unit controller  
6 (17) for controlling multi-mode switching and parallel operation with other UPS  
7 modules by connecting AC input, DC input and AC output in parallel with other  
8 UPS modules (10). A parallel control bus (PCB) is used for inter-unit signaling  
9 for exchanging operational data with unit controllers (17 of other UPS modules  
10 (10) for coordinating the parallel operation.

11 Each UPS module in the power supply system possesses identical  
12 control logic and functional capabilities for self-initiated role detection, master  
13 arbitration, parallel processing. Each UPS module is able to support the self-  
14 initiated role detection mode, new entrant mode, virtual master mode, and virtual  
15 slave mode.

16 The procedures for operating the UPS module in the self-initiated role  
17 detection mode are illustrated in Fig. 6, covered by steps (611)-(615). When first  
18 started, the UPS module (10) has to determine if the unit should be a virtual  
19 master, virtual slave or a new entrant by taking part in arbitration, and then  
20 configures for the confirmed role accordingly.

21 The procedures for configuring the UPS module in the new entrant mode  
22 are illustrated in Fig. 7. The program covers a master arbitration and single-unit  
23 operation mechanism. For a UPS module (10) being added to the power supply  
24 system (100), before confirming the role setting, the new UPS module is

1 initialized as new entrant. The new UPS module first checks if a virtual master  
2 exists in the power supply system (711). If the virtual master is in existence, it  
3 will wait for the virtual master to issue a call-slave command (712), which is a  
4 routine check effected by an interrupt routine.

5 After receiving the call command from the virtual master, the new UPS  
6 module configures itself as a virtual slave (713), and terminates the checking  
7 process. If the call command doesn't come till the end of the interrupt routine, the  
8 new UPS module will terminate the checking process and directly enter into a  
9 wait for the call command from the virtual master. On the other hand, if the new  
10 UPS module detects that the virtual master does not exist, that means there are  
11 one or more UPS modules paralleled in operation. The new UPS module is  
12 assigned a priority to arbitrate in the master arbitration (714). The new UPS  
13 module then broadcasts the manufacturer's ID code continuously to other  
14 parallel UPS modules to arbitrate for the virtual master (715) and then checks the  
15 returned data if it contains the same content as the one issued before. In the  
16 present embodiment, the system makes use of the hardware and software  
17 characteristics of the control area network (CAN) for transmitting signals.  
18 Supposing two or more UPS modules in the system simultaneously send out  
19 messages containing an ID code to arbitrate for the virtual master, the one with  
20 low potential will win the arbitration, and will receive the original message  
21 containing its own ID, whilst other UPS modules will be configured to be the  
22 virtual slave. If there is only one UPS module in operation, in that case it will not  
23 be able to receive the own message echoed back, but after a predetermined time  
24 of arbitration is over, and no one asserts to be the master, it will directly configure

1 to be the virtual master (716-719), that means there is only one UPS module  
2 operating in the system (719).

3 In the master-arbitration process, it is only necessary to identify the ID  
4 code without adding or multiplying any calculating time base, thus the actual  
5 time needed for virtual master-arbitration time would only take 1.5 ms, as in the  
6 present embodiment, even taking the battery operation mode into consideration  
7 the arbitrating time would take no longer than 3.0 ms. Having the slew rate  
8 appropriately controlled, the arbitrating process will not affect the AC output.  
9 However, this is a fast way to initialize the system and to pick a virtual master  
10 among the peers without wasting too many CPU resources.

11 In Fig. 8, the procedures for configuring the UPS as the virtual slave are  
12 illustrated. The UPS module configured as a virtual slave first checks if a virtual  
13 master is in existence (811). If for some reason the virtual master does not exist,  
14 the same UPS module will immediately changes itself to become a new entrant  
15 (812), and arbitrate for a new virtual master; otherwise, that means the virtual  
16 master already exists, so it will enter a wait for the call command from the virtual  
17 master and then will make a response (813) accordingly.

18 In Fig. 9, the flow chart for configuring the UPS module as a virtual  
19 master is illustrated. A UPS module configured as the virtual master first checks  
20 if any new entrant is added to the system (911); if this is true, it will then  
21 determine if the number of virtual slaves in the current system is less than the  
22 predetermined number (912). If this is true, the newly added UPS module will be  
23 assigned to be the virtual slave (913), but if the number of existing virtual slaves  
24 is greater than the predetermined number, the new entrant will be rejected by

1 ignoring its requests (914). This situation will happen only when the system  
2 reaches the predetermined number that the system could take no more.

3 After having finished checking for new entrants, the virtual master  
4 checks the current status of virtual slaves (915) by sequentially inquiring all  
5 virtual slaves (916). If a virtual slave gives a response (917), that means the  
6 virtual slave is in existence; otherwise, the virtual master will try once more with  
7 an inquiry (918) trying to confirm if the virtual slave has been removed (919).  
8 The UPS module then it checks the priority assigned and checks if there is more  
9 than one virtual master (920) in existence. The former action is to check if the  
10 virtual master for some reasons has switched over to the battery mode, while  
11 some virtual slaves in the system are still operating in the power mains mode. If  
12 that is true, the virtual master configures itself to be a new entrant and reinitiates  
13 the master arbitration (921), such that one of the UPS modules in the power  
14 mains mode will be able to become the new virtual master. The latter action is  
15 necessary to prevent abnormal conditions from developing in the system, when  
16 all the virtual slaves have finished with their responses (922), the program will be  
17 terminated.

18 Besides the functional modes described above, the UPS module (10) is  
19 built in with the capability of synchronous switching mode. The detailed flow  
20 chart is shown in Fig. 10. The virtual master first checks if the system needs to be  
21 switched over all at once, and prepares itself for such switching (411), then the  
22 virtual master broadcasts synchronous switching commands received by itself,  
23 all virtual slaves and all new entrants (412), and then it will perform synchronous  
24 switching mode at a preset point (413), which generally refers to the zero

1 crossing point of output voltage for triggering the synchronous switching.  
2 The so-called synchronous switching in the present context can be  
3 subdivided into emergency and non-emergency cases. The decision to use either  
4 case rests in the virtual master, or it could be decided by individual UPS modules.  
5 The emergency case generally refers to a situation of bypass due to overload.  
6 When the virtual master determines that the system approaches overload, and the  
7 main line operates in the normal range, the system decides to switch all UPS  
8 modules in the system from the inverter output to the bypass output all at once. In  
9 the other hand, for the non-emergency case of the present embodiment, if the  
10 switch mechanism is implemented within a double-conversion structure,  
11 whereby the switching between power main and battery will not cause power  
12 interruption for the load. For the system, it would be more ideal if the switching  
13 decision can be made at the unit level without affecting other UPS modules,  
14 which at that time may be in a different situation. Supposing part of a UPS  
15 module is inoperative, the virtual master responds by switching all UPS modules  
16 at once, therefore this action will result in more disorders for the UPS system.

17 The UPS modules in the system are further provided with an optional  
18 wireless control mode. If it is found that the wire-control bus is not functioning  
19 normally, the UPS module can decide to switch to wireless control to maintain  
20 the parallel operation. The general concept of wireless control is depicted in Fig.  
21 11. The output of the inverter is coupled with an inductor in series. The output  
22 voltage and frequency can be regulated by appropriate control of inductance  
23 therein. The required voltage and frequency difference between successive  
24 voltage signals can be computed for determining the active power and reactive

1 power components, using the formulae given below:

$$P_i = \frac{V_i V_o}{X_{s1}} \sin \delta_i, \quad i = 1, 2, \dots, n$$

$$Q_i = \frac{V_i V_o \cos \delta_i - V_o^2}{X_{s1}}, \quad i = 1, 2, \dots, n$$

2 Where  $\delta$  represents the adjacent angle between  $V_0$  and  $V_1$ .

3 From the above formulation, it can be found that active power is related  
4 to the output phase angle, and reactive power to the output amplitude. It is  
5 therefore possible to develop a control model for controlling the phase and  
6 amplitude of inverter output by an appropriate means, using the following  
7 relationship.

$$\omega_i = \omega_{0,i} - k_1 \cdot P_i, \quad i = 1, 2, \dots, n$$

$$V_i = V_{0,i} - k_2 \cdot Q_i, \quad i = 1, 2, \dots, n$$

9 The above wireless control of parallel operation by the droop method is  
10 to use the feedback of the active and reactive power from the AC output of the  
11 UPS module to adjust the phase angle and the voltage amplitude of the AC output  
12 can be controlled by a phase-locked and amplitude-control loop. The control  
13 process in wireless mode is shown in Fig. 12.

14 Under the mode of wireless control of parallel connection, the UPS  
15 module first checks the parallel control bus if it is in normal condition (511),  
16 especially the master configuring line, sync clock line and/or analog signal  
17 synthesis line. If they are in normal conditions, the bus control mode will be  
18 maintained for the parallel connection and the procedures will be terminated  
19 (512). If the parallel control bus is found abnormal, the system will be switched  
20 over to the wireless control of parallel connection (513). It should be noted at this  
21 point the system still relies on the communication bus for electing a virtual  
22

1 master, which is to facilitate data display and manual control to switch to the  
2 bypass mode. The respective UPS module checks if the amplitude and frequency  
3 of AC input signals are within the normal range (514); if this is true, the output  
4 voltage will be phase locked to the AC input (515). The system then checks if an  
5 abnormal condition develops inside the UPS module (516). The system then  
6 proceeds to check if the communication bus is normal (517). If this is true, the  
7 system is capable to switch to the bypass under certain situations (518) and all  
8 procedures will be terminated. In the program, if the amplitude and/or frequency  
9 of AC input are not within the normal range, the output will not be phase locked  
10 to the input, and next it will check if an abnormal condition develops in the UPS  
11 module (519). Regardless of whether the communication bus is normal or not, it  
12 will not be able to switch to the bypass, and the UPS module will be shutdown  
13 (520). In another situation, if the amplitude and/or frequency of AC input are  
14 both within the normal range, but the communication bus is abnormal, it is  
15 necessary to confirm if an abnormal condition develops in the UPS module,  
16 which then leads to unit shutdown.

17 According to the above-mentioned method, the present invention  
18 employs a dynamic process to elect a virtual master among the UPS modules,  
19 which is responsible for controlling the parallel operation of UPS modules. In  
20 case that the communication bus is inoperative, the system further provides a  
21 way for switching to wireless control of parallel operation. This special feature is  
22 unparalleled in other control techniques. Equipped with the wire-bus control for  
23 the normal bus conditions and the wireless control for the abnormal bus  
24 conditions, the overall reliability of the UPS system is substantially enhanced.

1       From the present invention, the preferred embodiment emphasizes a kind  
2       of hybrid central control method, utilizing the spirits of the distributed and  
3       central control method. This design makes the system more reliable than general  
4       full distributed or full central control design. First, the redundancy of the system  
5       is the number of the system, much better than only use the main external  
6       controller and the redundant external controller, which redundancy is only two.  
7       Second, in the present invention, no important control circuit is placed on so-  
8       called external controller, which may cause the system-level single point failure,  
9       and the reliability and fault tolerance is great and significantly enhanced. Third,  
10      there are only one virtual master and virtual slaves in the steady-state system;  
11      there is no need for so-called virtual vice-master, so only one UPS module is in  
12      operation at any given time, and thus the system is provided with better  
13      flexibility. Fourth, in this invention, better resources arrangement, and  
14      wire/wireless bus is both equipped to enhance the reliability and availability.  
15      From these mentioned characteristics, this invention discloses a superior control  
16      method, which improves the redundancy, fault tolerance, and flexibility of the  
17      prior design and similar type power supply.

18       The basic architecture of the power supply system (100) will be  
19      described as Fig.1, comprising:  
20            one or more battery units (101) for extending the discharging time;  
21            a manual bypass switch (102) for maintenance and repair use;  
22            a display and communication unit (103) for providing meaningful data to  
23      users with regard to the internal operation and for monitoring the software  
24      programs;

1                   an optional charger (104) for charging the battery; and  
2                   an optional output transformer (not shown in diagram) for changing the  
3                   output voltage.

4                   In the present embodiment, the output of the respective UPS module is  
5                   connected in parallel, which allows the load capacity to be increased and  
6                   provides the necessary system redundancy. It is possible to couple an isolation  
7                   transformer (not shown) to the AC output bus, which is mainly used for  
8                   decreasing the output voltage for low-voltage applications, such that many  
9                   electrical devices and harnesses will be able to reduced to match the power  
10                  requirements.

11                  The AC input of the respective UPS module, as in the preferred  
12                  embodiment, is adapted to receive an AC input with a plurality of phases,  
13                  allowing expansion to suit larger power requirements. The UPS module (10) can  
14                  be connected by a plurality of wires and switches, such that the AC input with  
15                  one or more phase can be adapted to use the same UPS module.

16                  The DC input of the respective UPS module, as in the preferred  
17                  embodiment, comes from one or more internally installed batteries (101) or  
18                  external batteries (105). The number of batteries can be controlled to match the  
19                  unit discharging time required by the system. If the discharging time does not  
20                  need to be extended, the batteries are not required to be connected in parallel,  
21                  without affecting the output from the parallel power supply system. In the  
22                  preferred embodiment, the AC inputs of all UPS modules are connected in  
23                  parallel to an external battery (105) for extending the discharging time.

24                  The display and communication unit (103) is to communicate with the

1 elected virtual master, and for displaying meaningful information with respect to  
2 the control system through an LCD or LED monitor, and the display and  
3 communication unit (103) also act as an interface between the system and  
4 external devices through RS232, RS485 or SNMP to facilitate system  
5 reconfiguration or remote control. The unit also is capable of issuing sync clock  
6 signals as an external clock.

7 The optional charger (104) is installed when the charging capability  
8 needs to be boosted. The charger (104) can be connected with the internal  
9 optional charger (not shown in the diagram) in respective UPS modules (10) in  
10 parallel for boosting the charging current of the battery.

11 The manually operated bypass switch (102) is installed only if necessary,  
12 such as in situations that all UPS modules need to be removed, by providing a  
13 bypass for power supply to the load.

14 The architecture of a typical UPS module (10) is shown in Fig. 2,  
15 comprising:

16 an optional input filter (not shown in the diagram) being connected to the  
17 AC input;

18 an AC/DC converter (11) being connected to the output of the filter for  
19 converting AC to DC;

20 a DC bus (12) being connected both to the output of the AC/DC  
21 converter (11) and DC/DC converter (14);

22 a DC/AC inverter (13) being connected to the DC bus (12) ;

23 a DC/DC converter (14) being connected to the DC input, and the output  
24 is connected to the DC bus (12);

1 an optional charger (15) being connected to the AC input;  
2 a power supply unit (16) being connected to the DC input and the  
3 optional charger providing the operating voltage for the unit;  
4 a unit controller (17) being respectively connected by the DC/AC  
5 inverter (13), AC/DC converter (11), and DC/DC converter (14) for controlling  
6 the operation of the UPS module and for controlling the parallel operation.

7 Under the above structure, when the main line is in normal conditions,  
8 the AC input is the main source of electrical power, which is then fed through the  
9 AC/DC converter (11) converting from AC to DC voltage and onto the DC bus  
10 (12), and further through the DC/AC inverter (13) converting DC to AC output  
11 and onto the AC output bus, forming a double conversion parallel framework.

12 When the main line is failed, the power source is switched to the  
13 secondary DC power, and through the DC/DC converter (14) converting to high  
14 voltage DC onto the DC bus (12), and then through DC/AC inverter (13)  
15 converting DC to AC output and onto the common AC output bus.

16 The power for the internal operation of the unit is mainly supplied by the  
17 charger (15) and the internal battery (101) or externally connected battery (105),  
18 regardless of the power source (AC or DC). This provides the power for  
19 operating devices such as fans, microprocessors, and the power switches.

20 The switch (SWA) shown in the diagram refers to the AC from the  
21 DC/AC inverter (13), and the switch over from AC power coming from the AC  
22 input. The switching speed equals to the mode transition time. For each UPS  
23 module, it first puts the AC input, DC input, and AC output connected to other  
24 UPS modules (10), and then the controller (17) through the parallel control bus to

1 exchange signals with other controllers (17) in other UPS modules (10) to  
2 accomplish load balancing and system stability.

3 The unit controller (17) as in one of the operational models shown in Fig.  
4 3 comprises:

5 a microprocessor (171) with digital signal processor (DSP) capability,  
6 and built in with multi-mode functions as shown in Figs 6-10. The controller (17)  
7 further includes a general-use I/O control circuit (174), an A/D detection circuit  
8 (175), an output power switch driving circuit (176), being respectively connected  
9 to the AC/DC converter (11), DC/DC converter (14), charger (15) and DC/AC  
10 inverter (13);

11 a photo-coupled bi-directional communication bus (172);  
12 an analog signal synthesis line (173) being connected to the  
13 microprocessor (171) for synthesizing the output current from UPS modules  
14 (10);

15 In the present embodiment, the microprocessor (171) acts as the central  
16 control unit for the UPS module (10). To accomplish load balancing, the  
17 microprocessor (171) performs a range of signal detection for output voltage,  
18 output current, and cross conduction current from the DC/AC inverter (13), and  
19 internal computation to produce the required PWM duty cycle, and through the  
20 output power switch driving circuit (176) it controls the output power switch of  
21 the DC/AC inverter (13) to generate the required output voltage and current. The  
22 microprocessor (171) computes the duty cycle of output power of inverter based  
23 on the control data from the feedback output voltage and current and the feed  
24 forward AC output current and voltage.

1        The controller (17) of the respective UPS module (10) can obtain the  
2        data with respect to the total load current through the microprocessor (171), and  
3        pass them to the microprocessor with impedance matching for computation of  
4        the total load current.

5        The photo-coupled bi-directional communication bus (172) can be used  
6        for configuring the virtual master and for transmission of sync clocks. One of the  
7        operational models of the photo-coupled bi-directional communication bus is  
8        shown in Fig. 4 comprising two photo-couplers, and a plurality of transistors and  
9        resistors respectively connected to the input and output terminals of the  
10       microprocessor (171) of the UPS module (10), such that the built-in  
11       microprocessor (171) is able to perform synchronous signal transmission and  
12       reception in both directions. The time delay factor in signal transmission can be  
13       incorporated into the computation model, so that the microprocessor (171)  
14       sending out the signal is able to receive the same message issued before. For the  
15       virtual master, one of the signal wires of the photo-coupled bi-directional  
16       communication bus (172) is used as a sync clock line, directly connected to the  
17       input capture of the controller (17) for frequency detection, such that all UPS  
18       modules (10) can be synchronized in identical phase.

19       An example of the analog signal synthesis line is shown in Fig. 5. The  
20       respective UPS module can use a current transformer to extract the current  
21       waveform, and the microprocessor (171) through synthesis with appropriate  
22       impedance matching ( $Z_1-Z_n$ ) to produce the required power distribution signal  
23       for sharing the load. The analog signal synthesis line (173) further includes a  
24       switch (SW1-SW $n$ ). When the system only has one UPS module operating or

1 being switched to wireless control of parallel operation, the switch (SW1-SWn)  
2 is used to disconnect the respective UPS module (10) from the parallel control  
3 bus.

4 The above-mentioned parallel control bus is used for electing a virtual  
5 master through the master arbitration participated by one or more parallel UPS  
6 modules connected in parallel as already described in detail through Figs 6-10.

7 The present invention introduced the mixed control method, using the  
8 built-in redundant control logic in each respective UPS module to perform role  
9 detection, mode switching, and master arbitration. When the virtual master is  
10 down or failed, other UPS modules will be able to re-elect a new virtual master  
11 through the arbitration process, thus reducing the risk of single point failure.

12 The present invention design has adequately taken into consideration the  
13 necessary redundancy for parallel operation. Since the virtual master is  
14 dynamically elected from among all the UPS modules, the number of is equal to  
15 the total number of UPS modules in the system. The redundancy factor in this  
16 case should be higher than that with only a redundant units and dedicated  
17 controllers such as US Pat. 6,201,319, thus decreasing the risks of a system-level  
18 failure of single-point failure.

19 Furthermore, there is only one virtual master to coordinate the operation  
20 of a plurality of virtual slaves, and the architecture of this invention constructs  
21 simpler structure compared to the prior art US Pat.6,396,170, which system is  
22 operated by dynamically selecting one UPS module to be the master dispensing  
23 with the vice master. Due to the compact and robust skill in this invention, the  
24 possibilities of the system-level single point failure could be decrease to as low as

1 possible. Furthermore, since there are only one virtual master and virtual slaves,  
2 the so-called virtual vice-master is not necessary in this invention, such that even  
3 only one module could sustain the system in normal operation. Therefore, the  
4 availability and flexibility is better than the prior art US Pat, 6,396,170, which  
5 both master and vice-master much exist in the system, and system could be  
6 normally operated. Only one module is not possible to make the system operate  
7 in the prior art. From the above mentioned characteristics, the present invention  
8 provides a more effective control method for controlling parallel operation of  
9 UPS modules with due consideration of redundancy, fault tolerance and  
10 flexibility.

11 The present invention has constructed the system with a simple structure  
12 using fully redundant UPS modules, without external control circuitry or  
13 dedicated controllers, as opposed to the case of prior art which employs a  
14 dedicated controller in conjunction with a redundancy intelligence management  
15 (RIM) for redundant control, and in another case in prior art the inventor uses a  
16 master, a vice master, peers method, and complicated-wasting-type parallel  
17 resource arrangement in the system.

18 The foregoing description of the preferred embodiments of the present  
19 invention is intended to be illustrative only and, under no circumstances, should  
20 the scope of the present invention be so restricted.